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## Melting Barriers to Faunal Exchange Across Ocean Basins

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1 **Melting barriers to faunal exchange across ocean basins**

2

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26 **Abstract**

27 Accelerated loss of sea ice in the Arctic is opening routes connecting the Atlantic and  
28 Pacific oceans for longer periods each year. These changes may increase the ease and  
29 frequency with which marine birds and mammals move between the Pacific and Atlantic  
30 ocean basins. Indeed, recent observations of birds and mammals suggest these  
31 movements have intensified in recent decades. Reconnection of the Pacific and Atlantic  
32 Ocean basins will present both challenges to marine ecosystem conservation and an  
33 unprecedented opportunity to examine the ecological and evolutionary consequences of  
34 inter-oceanic faunal exchange in real time. To understand these changes and implement  
35 effective conservation of marine ecosystems, we need to further develop modeling efforts  
36 to predict the rate of dispersal and consequences of faunal exchange. These predictions  
37 can be tested by closely monitoring wildlife dispersal through the Arctic Ocean and using  
38 modern methods to explore the ecological and evolutionary consequences of these  
39 movements.

40

41

## INTRODUCTION

42

43 The marine fauna of the Arctic has a dynamic history of connectivity. Glacial cycles  
44 during the Pleistocene periodically obstructed interchange between the marine biota of  
45 the Pacific and Atlantic oceans (Marincovich & Gladenkov, 2001), due to both sea ice  
46 and the intermittent presence of the Bering land bridge. During this time, distinctive  
47 assemblages of polar, subpolar, and temperate taxa populated each ocean basin  
48 (Marincovich *et al.*, 1990, Vermeij, 1991). Potential exchange between these  
49 communities could have occurred episodically during interglacial periods when sea ice  
50 was reduced (Polyak *et al.*, 2010). For much of the Pleistocene, however, glacial periods  
51 were marked by thick layers of perennial sea ice that isolated populations of many taxa  
52 within each ocean basin (Polyak *et al.*, 2010). Likewise, cooling in the Holocene resulted  
53 in persistent ice barriers in the Canadian Arctic Archipelago, which famously impeded  
54 mariners from making the fabled crossing through the “Northwest Passage” of the Arctic  
55 Ocean. It has also created an impassible physical boundary for most marine tetrapods,  
56 including many Arctic and sub-Arctic species of marine mammals and seabirds (Dyke *et*  
57 *al.*, 1996, Haley, 1984). Low temperature and productivity in these ice-covered waters  
58 are also thought to create a dispersal boundary for smaller species with pelagically  
59 dispersing larvae (Reid, 1990, Reid *et al.*, 2007, Vermeij, 1991).

60

61 As a result of this geological and climactic history, faunal exchange between the Atlantic  
62 and Pacific basins has been infrequent over the last 3 million years for many species. The  
63 barrier formed by sea ice, cold water, and relatively low levels of productivity compared  
64 with temperate ecosystems produced a number of evolutionary distinct lineages and sister

65 taxa with ranges limited to either the Pacific or the Atlantic Oceans (Friesen *et al.*, 1996,  
66 Reid, 1990, Wares & Cunningham, 2001).  
67  
68 Today, warmer temperatures are reducing sea ice extent and thickness, resulting in more  
69 open ocean in the summer and fall than ever before (Stroeve *et al.*, 2012). Current models  
70 suggest that by 2050 the Arctic Ocean could be ice-free during September (Liu *et al.*,  
71 2013). The retreat of Arctic ice is now recognized as the source of new challenges for  
72 Arctic ecosystem conservation (reviewed in Grebmeier (2012)). Specifically, the  
73 increased rates of faunal exchange between the Atlantic and Pacific Ocean basins  
74 predicted by Vermeij and Roopnarine (2008), and observed by several authors (Bluhm *et*  
75 *al.*, 2011, Kovacs *et al.*, 2011, Reid *et al.*, 2007) raise new conservation questions.  
76  
77 To address the variety of conservation concerns and research opportunities arising from  
78 increasingly ice-free Arctic Ocean basin we 1) review a recent flurry of observations of  
79 marine tetrapods and other Arctic and Subarctic marine taxa observed beyond their  
80 known ranges, probably as a result of thawing Arctic ice barriers, 2) identify some of the  
81 challenges that an increase in such out-of-range movements may pose for marine  
82 conservation, and 3) highlight current efforts at biodiversity monitoring and research and  
83 make recommendations for further study in this era of increasing connectivity between  
84 ocean basins. The goal of this opinion article is not to exhaustively review extralimital  
85 records of Arctic tetrapods, but rather to illustrate the conservation challenges and  
86 research opportunities provided by increased tetrapod faunal exchange among ocean  
87 basins.

88

89

90 **EVIDENCE FROM TETRAPODS: RECENT FAUNAL EXCHANGE BETWEEN**  
91 **THE ATLANTIC AND PACIFIC OCEAN BASINS**

92 The reduction of Arctic sea ice has already had ecological consequences for many Arctic  
93 taxa, including marine mammals and seabirds (Bluhm *et al.*, 2011, CAFF, 2013, Kovacs  
94 *et al.*, 2011). Here, we focus on recent observations that provide evidence for novel and  
95 potentially increasing inter-basin movements.

96

97 Currently, separate management stocks of bowhead whales exist on either side of the  
98 Northwest Passages. New genetic evidence indicates both ancient and recent gene flow  
99 between these populations (Alter *et al.*, 2012). The lack of bowhead fossils from interior  
100 locations in the Canadian Arctic Archipelago suggests that sea ice created a barrier to  
101 exchange during parts of the mid- and late Holocene (Dyke *et al.*, 1996). Satellite  
102 tracking of bowhead whales (*Balaena mysticetus*) provides direct evidence that the retreat  
103 of Arctic ice is allowing movement between ocean basins. In the summer of 2010, the  
104 Northwest Passages were sufficiently free of ice to allow individuals from two different  
105 populations to feed in the same region at the same time (Heide-Jorgensen *et al.*, 2012).  
106 Although these two particular individuals retreated to their respective oceans after ten  
107 days, their occupation of common territory demonstrated the potential for increased ease  
108 of inter-population exchange (Heide-Jorgensen *et al.*, 2012).

109

110 In light of the bowhead whale observations, recent sightings of gray whales (*Eschrichtius*  
111 *robustus*) in the Atlantic are intriguing. The gray whale currently occupies coastal  
112 margins of the North Pacific. Historically the range of the Pacific population extended  
113 from Japan to Mexico along the continental margin, and an extinct North Atlantic sister  
114 population is known from subfossil remains in both the western and eastern North  
115 Atlantic (Alter *et al.*, 2015, Bryant, 1995, Mead & Mitchell, 1984). Gray whales occupy  
116 the edge of sea ice (Figure 1), but unlike bowhead whales, are unable to transit areas of  
117 thick consolidated ice (Rice & Wolman, 1971). Alter *et al.* (2015) used ancient DNA and  
118 predictive habitat modeling to show that gray whales transited between the Pacific and  
119 Atlantic several times over the Pleistocene and Holocene, corresponding to periods when  
120 climatic conditions would have permitted passage through the Arctic. In the spring of  
121 2010, a single gray whale was observed off the coast of Israel, marking the first record of  
122 the genus in the Atlantic for 200+ years (Scheinin *et al.*, 2011). Subsequently, one or  
123 more individuals were sighted off the coast of Namibia in May and June of 2013  
124 (Paterson, 2013). The most likely route for a (re-)colonization of the Atlantic by gray  
125 whales is subject to debate but is likely to be a coastal route through the Arctic Ocean. As  
126 summer feeding grounds in the Beaufort and Chukchi Seas expand northward with the  
127 recession of seasonal sea-ice, possibilities for more whales to transit the Arctic and enter  
128 the Atlantic will increase. A long transit through tropical pelagic regions seems less  
129 likely, as gray whales are a primarily coastal species that feed in temperate and sub-polar  
130 waters. However, recent satellite tag data has demonstrated that gray whales are capable  
131 of unexpected movements and migrations (Weller *et al.*, 2012). Our understanding of this  
132 species' ecology and biogeography may change with additional data from new



133 technologies. Both predictive habitat modeling and inferences from past movements  
134 indicate that gray whales are likely to find suitable habitat in the Atlantic and that  
135 additional migrants are likely as Arctic routes become more accessible (Alter *et al.*,  
136 2015).

137

138 The potential ecological impact of cetacean range expansion is highlighted in work  
139 documenting the feeding of killer whales (*Orcinus orca*) in Hudson Bay (Ferguson *et al.*,  
140 2010). Killer whales were previously restricted in the Arctic by consolidated ice (Dyke *et*  
141 *al.*, 1996). Recently they expanded into ice-free areas of Hudson Bay where they were  
142 documented preying upon Arctic marine mammals including beluga (*Delphinapterus*  
143 *leucas*), narwhal (*Monodon monoceros*), bowhead (Ferguson *et al.*, 2010) and at least  
144 four species of seal (Higdon *et al.*, 2012). While diet specialization is common among  
145 killer whales, comparatively little is known about the ecology of populations that use  
146 Arctic waters (Higdon *et al.*, 2012). Thus, while marine mammal-eating ecotypes have  
147 been definitively observed, this does not preclude the possible presence of fish-eating  
148 ecotypes in the Arctic as well. Regardless of ecotypes present, the arrival of even small  
149 numbers of a novel top predator such as killer whale could have cascading effects on the  
150 ecosystem as predators and prey respond to changes in the structure of the food web  
151 (Springer *et al.*, 2003).

152

153 Recent seabird records also suggest movements through the Arctic Ocean. The Northern  
154 Gannet (*Morus bassanus*, Sulidae) has a distribution limited to the North Atlantic Ocean.  
155 Sea ice presents an effective barrier because this species feeds on fish and needs access to

156 open water when flying long distances. However, one was observed twice in Alaska in  
157 2011 (Heinl, 2011). In April 2012, a Northern Gannet reached the Farallon islands off  
158 Northern California (Webb, 2012). These records are the only Pacific Ocean sightings in  
159 recorded history, indicating that previous dispersal events across tropical oceans were  
160 extremely unlikely. Its mode of feeding means it is unlikely to have reached the Pacific  
161 by flying over land or extensive areas of ice. Thus, the most plausible route to explain its  
162 arrival in the Pacific is via the Northwest Passages or Arctic Ocean now that mid-transit  
163 fishing is possible during the summer season. Such movements should become even  
164 easier as open water becomes more widely available in the Arctic Ocean.

165

166 The Manx Shearwater (*Puffinus puffinus*, Procellariidae) is another seabird with a known  
167 breeding range limited to the North Atlantic. It has been increasingly observed in the  
168 North Pacific over the last several decades, with breeding suspected in the North Pacific  
169 (Force *et al.*, 2006). Pacific sightings for a second species, the Great Shearwater  
170 (*Ardenna gravis*), have also increased over the last few years (Figure 2). Most sightings  
171 occurred in the boreal summer, including two records from off of California in the  
172 summer of 2013 (Hamilton *et al.*, 2007, Shearwater, 2013). Unidentified large, dark  
173 shearwaters have also been recorded from James Bay, Ontario (Holden, 2010), out of  
174 range for Sooty Shearwater (*Ardenna griseus*) or Short-tailed Shearwater (*Ardenna*  
175 *tenuirostris*), and demonstrating the use of newly ice-free passages by shearwaters. While  
176 shearwaters are long-range migrants that may be capable of a southerly passage, the  
177 increasing frequency of out of range observations, and the fact that they occur during the

178 summer when sea ice is at a minimum, suggest movement across the Northern Passages  
179 and Arctic Ocean.  
180  
181 Auks (Alcidae), a lineage of diving birds currently restricted to the Northern Hemisphere,  
182 also provide evidence for movement through arctic marine Passages. Sea ice may impact  
183 the feeding of these birds, restricting the ranges of some alcid species to the Pacific.  
184 Several Pacific species of auk have recently been observed in the Atlantic. Sightings of  
185 Long-billed Murrelet (*Brachyramphus perdix*), a species known for vagrancy, have  
186 increased (Vinicombe, 2007) along with those of Ancient Murrelet (*Synthliboramphus*  
187 *antiquus*). Tufted Puffin (*Fratercula cirrhata*) was recently recorded in European waters  
188 (<http://www.puffinpalooza.com/tag/tufted-puffin-and-europe/>)(Magpie, 2009) and Maine  
189 ([http://www.cbc.ca/news/canada/new-brunswick/tufted-puffin-seen-on-atlantic-coast-for-](http://www.cbc.ca/news/canada/new-brunswick/tufted-puffin-seen-on-atlantic-coast-for-1st-time-since-1830s-1.2680540)  
190 [1st-time-since-1830s-1.2680540](http://www.cbc.ca/news/canada/new-brunswick/tufted-puffin-seen-on-atlantic-coast-for-1st-time-since-1830s-1.2680540))(Brunswick, 2014). Additionally, the bridled morph of  
191 the Common Murre (*Uria aalge*), which makes up 50% of individuals at some North  
192 Atlantic colonies, was first recorded in the Pacific in 2008 (Schmidt & Warzybok, 2011).  
193  
194 Evidence for novel movements of subspecies of seabirds also exists. In 2011, an  
195 Atlantic subspecies of Common Eider (*Somateria mollissima dresseri*) was observed in  
196 Del Norte County California (Able *et al.*, 2014). In 2005, the Pacific subspecies of  
197 Common Eider (*Somateria mollissima v-nigra*), was observed in Newfoundland  
198 (<http://brucemactavish1.blogspot.co.uk/2014/02/the-ice-is-coming.html>), with a second  
199 bird found in Norway in 2014 ([http://birdingfrontiers.com/2014/02/19/pacific-eider-in-](http://birdingfrontiers.com/2014/02/19/pacific-eider-in-norway-a-new-western-palearctic-bird/)  
200 [norway-a-new-western-palearctic-bird/](http://birdingfrontiers.com/2014/02/19/pacific-eider-in-norway-a-new-western-palearctic-bird/)).

201

202 Current evidence for novel faunal exchange between the Atlantic and Pacific basins  
203 through the Northwest Passages is still somewhat circumstantial in tetrapods. It is  
204 difficult to tease apart the probability of a true increase in faunal exchange from a similar  
205 recent increase in observer effort and experience. Efforts to establish biodiversity  
206 baselines for the Arctic are ongoing (CAFF, 2013, Gill *et al.*, 2011), but there is now a  
207 clear need to consider how these movements will impact marine ecosystems. We propose  
208 the term “inter-basin taxa” to describe species that move between basins as a result of  
209 availability of open water in the Northwest Passages and Arctic Ocean. As the Arctic sea  
210 ice melts, inter-basin taxa will become increasingly common and will need to be  
211 explicitly considered in conservation and research efforts.

212

213 **IMPLICATIONS FOR MARINE ECOSYSTEM CONSERVATION AND**  
214 **RESEARCH IN ECOLOGY AND EVOLUTION**

215 Arctic ice retreat is already recognized as the source of new challenges for marine  
216 ecosystem conservation. Even in the absence of faunal exchange, increased ship traffic,  
217 fishing and oil exploration are already creating potential environmental problems as the  
218 Arctic becomes more accessible to humans (Alter *et al.*, 2010, Huntington, 2009, Moore  
219 *et al.*, 2012). While these impacts are immediately important, faunal exchange may have  
220 ecological effects that should be considered in long-term conservation planning.

221

222 New habitats will be colonized and distinct populations and species will mix as the  
223 reduced sea ice allows increased exchange across the Arctic. Range changes can have

224 ecological impacts as expanding species compete with native fauna for prey, breeding  
225 sites, and other resources. Genomes previously adapted to the rigors of one ocean basin  
226 will be confronted with novel adaptive challenges from distinct environmental and  
227 community assemblage differences in the other. Moreover, hybridization between  
228 previously diverged lineages can impact demographic and evolutionary trajectories for  
229 both populations (Allendorf *et al.*, 2010, Cook *et al.*, 2013). However, identifying which  
230 species will be most likely affected remains a challenge.

231

232 We identified marine mammal and bird species for which inter-basin movements appear  
233 to be limited by sea ice (Table 1). As sea ice in the Arctic is reduced, these species are  
234 most likely to become inter-basin taxa. These taxa can be divided into two groups: 1)  
235 Polar Species (PS), which currently inhabit available open water above the Arctic Circle  
236 ( $66.5622^\circ$ ), although individual populations may not yet be connected because of sea ice  
237 barriers, and 2) Ice Edge Species (IES), which traditionally inhabit the area south of the  
238 edges of the arctic sea ice, and take advantage of both subpolar and north temperate  
239 environments. Species in which only one sex occupies a polar range (i.e. sperm whale)  
240 were considered IES, while marine birds with transcontinental migration routes (some  
241 ducks, gulls, and loons) were excluded. The inter-basin taxa observed to date have been  
242 Ice Edge Species. Understanding which species are most likely to become inter-basin  
243 taxa will require extensive review of the natural history of the listed species. The relative  
244 numbers of IES bird species found in the Pacific basin in comparison to the Atlantic (15  
245 Pacific / 4 Atlantic IES birds), suggests that West to East will be the dominant direction

246 of travel. Mammal distribution appears more equitable (7 Pacific/ 6 Atlantic IES  
247 mammals).  
248  
249 We expect that inter-basin movements will become increasingly common as Arctic sea  
250 ice recedes, but detecting true migration events will be easier in some cases than others.  
251 Detecting the presence of a new species in the Atlantic or Pacific requires only a single  
252 observation, whereas detection of newly overlapping ranges of previously separate  
253 populations requires detailed movement records for individuals, or population genetic  
254 evidence of recent inter-basin gene flow (Alter *et al.*, 2012). Furthermore, ice edge  
255 species may be easier to detect than polar species, as these taxa will move across the  
256 Arctic and then seek more temperate environments, where there are higher densities of  
257 human observers.

258

259 **CONSEQUENCES OF FAUNAL EXCHANGE FOR SPECIES AND**  
260 **ECOSYSTEMS**

261 Paleontological and historical evidence demonstrates that faunal exchange between  
262 previously isolated communities can have profound impacts. For example, the rise of the  
263 Isthmus of Panama facilitated the Great American Biotic Interchange between North and  
264 South America (Woodburne, 2010). Placental mammals, particularly rodents, invaded  
265 South America, where they contributed to high extinction rates in local fauna as they  
266 competed for resources (Webb, 2006). More recently, the opening of the Suez Canal in  
267 1869 increased exchange of marine organisms between the Red Sea and the  
268 Mediterranean Sea. Many immigrants are at an advantage as they expand into the

269 Mediterranean because the Red Sea is saltier and more environmentally variable. The  
270 resulting ecological advantages as well as increasing temperatures in the Mediterranean  
271 allowed some of these invasive taxa to outcompete natives (Edelist *et al.*, 2012, Galil *et*  
272 *al.*, 2015, Mooney, 2001, Yahia *et al.*, 2013). We expect similar patterns to emerge as the  
273 sea ice melts and rates of faunal exchange increase in the Arctic.

274

275 As with introduced or invasive species in other environments, inter-basin movements by  
276 marine birds and mammals may dramatically impact their habitats. Gray whales for  
277 example have been described as ecosystem engineers, transforming soft-sediment  
278 environments through excavation and bioturbation (Berke, 2010). Seabirds play critical  
279 roles as epipelagic predators, scavengers, and in the transfer of marine nutrients to  
280 terrestrial environments (Wainright *et al.*, 1998). The introduction of novel predators may  
281 alter food web dynamics and prey abundances resulting in substantial changes in  
282 community structure and ecosystem services (Grebmeier, 2012). These impacts will be  
283 felt most strongly in systems without ecologically analogous species, or where geminal  
284 taxa have been lost. For example, the extirpation of gray whales from the North Atlantic  
285 and the disappearance of the genus *Morus* (gannets) from the North Pacific in the late  
286 Pleistocene left open niches after local extinctions (Bryant, 1995, Nelson, 2010).

287

288 Disease transmission may also play a significant role in restructuring newly joined  
289 marine tetrapod communities. Infections from *Toxoplasma gondii* are on the rise in  
290 Arctic marine mammals with transmission linked to interspecific predation (Jensen *et al.*,  
291 2010). Likewise, changes in the transmission patterns have caused phocine distemper

292 virus, which historically affected Arctic dwelling seals, to infect more temperate  
293 populations. Patterns of communicability will change as previously isolated populations  
294 come into more frequent contact with related species (de Swart *et al.*, 1995). Cetacean  
295 morbilliviruses affect small odontocete species across a wide geographic range (Rowles  
296 *et al.*, 2011) and may reach even farther if separated populations come into contact.  
297 Transmissibility of avian influenza, and even *Borrelia garinii* (a causative agent of Lyme  
298 Disease), may increase in seabirds and waterfowl if populations renew contact along  
299 arctic passages (Staszewski *et al.*, 2008). The potential for pathogens to jump vectors  
300 may also exacerbate disease transmission as previously isolated taxa suddenly exist in  
301 close proximity.

302

303

#### 304 **NEW CHALLENGES AND OPPORTUNITIES**

305 With the accelerating disappearance of Arctic sea ice, documenting shifting patterns in  
306 wildlife movements is increasingly urgent. New monitoring programs, including the  
307 Circumpolar Biodiversity Monitoring Program (CBMP; Gill *et al.* (2011)) and NOAA's  
308 Distributed Biological Observatory (Grebmeier, 2012), and the North Pacific Pelagic  
309 Seabird Database (<http://alaska.usgs.gov/science/biology/nppsd/index.php>) will help  
310 establish a baseline for environmental and biogeographic data. These data will inform not  
311 only conservation efforts for Arctic birds and mammals, but also efforts to learn from  
312 what may become the largest faunal exchange event to occur during the historical era. To  
313 take full advantage of this opportunity we propose the following areas of emphasis:

314



315 **Monitoring animal movements and the ecological and evolutionary consequences of**  
316 **their range shifts.** With mounting evidence of increasing faunal exchange, it will be

317 important to augment monitoring programs designed to document these movements:

- 318 • Increased tagging and satellite tracking of individuals from populations/species  
319 that are likely to make the crossing to ensure real time records.
- 320 • Collecting tissue samples from populations in both basins. Compiling genetic data  
321 to verify species and population of origin via parentage-based tagging  
322 methodology.
- 323 • Employing citizen science programs to increase recorded observations of focal  
324 taxa, and accumulate records of migration events as well as augmenting both  
325 methods above. Volunteer and hobbyist programs are already the most active  
326 source of species level data for birds and mammals (e.g., eBird; Sullivan *et al.*  
327 (2009)). The additional data points they offer provide more accurate time stamps  
328 for inter-basin transits.

329

330 **Investigating ecological and evolutionary consequences of faunal exchange.** As

331 populations of organisms divide or become reconnected, a variety of evolutionary

332 outcomes are possible:

- 333 • Inter-basin migrants could expand into territory occupied by genetically distinct  
334 populations creating competition for resources within a species, or with more  
335 distantly related taxa.
- 336 • Previously isolated populations could connect and interbreed resulting in  
337 homogenization of genetic diversity and slowing of local adaptation.

- 338       • Post-transit, founder populations could rapidly diverge from parent lineages under  
339           selection pressures driven by exposure to novel habitats and conditions, or simply  
340           as a result of genetic drift.

341

342   Gathering baseline data for PS and IES before they become inter-basin taxa will inform  
343   estimates of genetic diversity, drift and gene flow in populations that are currently  
344   isolated. This opportunity will permit more accurate prediction of the evolutionary  
345   consequences of novel migration. As colonizers move into new habitats and mate with  
346   new partners, follow-up time series data will allow us to interpret these changes as they  
347   occur and project into the future. In a comparative framework, genomic and  
348   transcriptomic data will help illuminate how these organisms respond physiologically to  
349   changing conditions and new habitats. The dramatic changes occurring in the Arctic  
350   present an opportunity to better understand the evolutionary processes that occur during  
351   colonization of new habitat. See Cook *et al.* (2013) for a thorough discussion of the uses  
352   of genetic methods in Arctic biodiversity research.

353

354   **Projecting habitat suitability for dispersing organisms.** Species distribution models  
355   are a common tool used to predict future distributions as climatic conditions change  
356   (Elith & Leathwick, 2009). To date, the vast majority of these efforts have focused on  
357   terrestrial ecosystems where barriers to dispersal are much less dramatic than Arctic sea  
358   ice. In the Arctic, there are relatively few examples of species distribution modeling  
359   (Huettman et al., 2011, Kaschner et al. 2011). Species distribution models have  
360   immediate applications to projecting the movements of marine mammals and birds as

361 they colonize new ranges in the Pacific and Atlantic basins (Huettmann *et al.*, 2011,  
362 Kaschner *et al.*, 2011). Recent work estimated the suitable habitat range of gray whales  
363 and projected highly suitable habitat for this species across the northeastern US and  
364 Canada (Kaschner *et al.* 2011, <http://www.aquamaps.org>. 2013. Computer Generated  
365 Native Distribution Map for *Eschrichtius robustus* (gray whale)). Incorporating  
366 predictions about future feeding grounds, breeding locations, nesting sites and population  
367 densities will strengthen existing conservation efforts.

368

369 **Policy and Management Implications.** The ecological consequences of melting sea ice,  
370 including invasions by novel species, disease, habitat alteration, colonization of new  
371 habitats, and changing wildlife communities, all have policy and management  
372 implications. The proposed research to document shifting patterns, monitor movements  
373 and ecological/evolutionary consequences in real time, and project habitat suitability for  
374 dispersing organisms can inform policy design and management action in a number of  
375 ways.

376

377 The current environmental laws governing the Northwest Passage have relied upon  
378 premises that are challenged by melting sea ice and associated increased faunal exchange,  
379 and as such may need updating. The first premise is the lack of access, which diminished  
380 the need to institute environmental protections of fauna. The isolation and harsh climate  
381 of the biologically rich and ecologically sensitive Canadian Arctic Archipelago have  
382 served to protect the area from resource exploitation, development, tourism, and  
383 commercial shipping. The opening, and the right of transit, could fundamentally change

384 the economic calculus for exploitation, development, and shipping, posing numerous  
385 environmental and social risks (Kovacs *et al.*, 2011). The second premise relates to the  
386 lack of significant faunal movement through the passage. Because of the historical rarity  
387 of significant faunal exchange through the strait, environmental protection for fauna has  
388 received relatively little international or national policy attention. The third premise is  
389 based on the legal nature of the ocean. The seasonal opening of the Northwest Passages  
390 could alter the legal status of the waters. Canada currently considers the passage as  
391 internal waters, using national environmental policy to protect resources, including fauna.  
392 Other nations dispute Canada's claim and consider it an international strait, with all  
393 transit rights per UNCLOS (King, 2008). The change in legal status calls into question  
394 the environmental protections (national, international, customary) that currently apply  
395 (King, 2008), and the emerging threats pose new challenges for any planned protection.  
396  
397 Conservation and management plans may also need amending. Information on changing  
398 species distributions and habitats are relevant to widespread calls to move towards  
399 ecosystem-based management, now mandated by US National Ocean Policy and called  
400 for by international agencies such as the United Nations Environmental Program. A key  
401 challenge with ecosystem-based management is ensuring overlap of ecosystem and  
402 governance scales (Ruckelshaus *et al.*, 2008), so changing movements and habitats will  
403 need to be considered in ecosystem based management plans. Another example where  
404 new information could be pertinent to management relates to marine mammal  
405 conservation and management plans required by the International Whaling Commission,  
406 as well as whaling permits (Simmonds & Isaac, 2007).

407

408 Finally, novel movements across disappearing barriers will change our definitions and  
409 management of invasive species. Climate change impacts will need to be incorporated  
410 into analyses of pest risks, required by current laws such as the International Plant  
411 Protection Convention, and invasion-pathways assessments, mandated by the National  
412 Aquatic Invasive Species Act of 2007 (Pyke *et al.*, 2008). Efforts to control invasive  
413 species will require a systematic, coordinated approach that targets key vectors and  
414 anticipates climate impacts (Bax *et al.*, 2003). Current international and national policy  
415 and research on marine invasive species are insufficient to address the problem,  
416 especially under changing environmental conditions (Simberloff *et al.*, 2005). The  
417 proposed research could support concrete actions, such as an early warning surveillance  
418 system for diseases and invasive species that would (i) identify and eliminate threats as  
419 they appear; (ii) predict where outbreaks may occur and undertake risk assessments; and  
420 (iii) identify invasion pathways.

421

422 Climate change, sea-level rise and ocean acidification pose unprecedented changes to our  
423 marine environment. In the Arctic, one major change will be the increased potential for  
424 faunal exchange between ocean basins. By anticipating faunal exchange associated with  
425 the opening of Northwest Passages, we will be able to take advantage of an ecological  
426 experiment of grand proportions. Augmenting monitoring programs that track inter-basin  
427 movements and the exchange of genetic material and diseases will be critical to  
428 documenting these changes. These data will inform our inferences about some of the past  
429 episodes of global change on biogeography, evolution and ecological interaction, as well

430 as help predict consequences for the accelerating changes happening in the world today.  
431 We can further use these data to inform modern conservation and management policy.  
432 Effective policy requires careful consideration of changing conditions. Ongoing faunal  
433 exchange in the arctic offers managers the opportunity to lead by example as climate  
434 change threatens to rewrite ecosystems around the world.  
435

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440 Conservation.  
441

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621

622

623 Table 1: Bird and Mammal species likely to make inter-basin movements based on  
 624 current range.

POLAR SPECIES (PS)

Birds

Artic Tern	<i>Sterna paradisaea</i>
Black Guillemot	<i>Cepphus grylle</i>
Black-legged Kittiwake	<i>Rissa tridactyla</i>
Brant Goose	<i>Branta bernicla</i>
Common Eider	<i>Somateria mollissima</i>
Common Murre	<i>Uria aalge</i>
Glaucous Gull	<i>Larus hyperboreas</i>
Harlequin Duck	<i>Histrionicus histrionicus</i>
Ivory Gull	<i>Pagophila eburnea</i>
King Eider	<i>Somateria spectabilis</i>
Leach's Storm Petrel	<i>Oceanodroma leucorhoa</i>
Little Auk (Dovekie)	<i>Alle alle</i>
Long-tailed Duck	<i>Clangula hyemalis</i>
Long-tailed Jaeger	<i>Stercorarius longicaudus</i>
Northern Fulmar	<i>Fularus glacialis</i>
Parasitic Jaeger	<i>Stercorarius parasiticus</i>
Pomarine Jaeger	<i>Stercorarius parmarinus</i>
Red-breasted Merganser	<i>Mergus serrator</i>
Red-throated Loon	<i>Gavia stellata</i>
Ross's Gull	<i>Rhodostethia rosea</i>
Sabine's Gull	<i>Xema sabini</i>
Sooty Shearwater	<i>Puffinus gravis</i>
Thick-Billed Murre	<i>Uria lomvia</i>

Mammals

Bearded Seal	<i>Erignathus barbatus</i>
Beluga Whale	<i>Delphinapterus leucas</i>
Bowhead Whale	<i>Balaena mysticetus</i>
Fin Whale	<i>Balaenoptera physalus</i>
Harbor Porpoise	<i>Phocoena phocoena</i>
Harbor Seal	<i>Phoca vitulina</i>
Harp Seal	<i>Pagophilus groenlandicus</i>
Minke Whale	<i>Balaenoptera acutorostrata</i>
Narwhal	<i>Monodon monoceros</i>
Orca	<i>Orcinus orca</i>
Ringed Seal	<i>Pusa hispida</i>
Walrus	<i>Odobenus rosmarus</i>

## ICE EDGE SPECIES (IES)

Basin	Birds	
Atlantic	Atlantic Puffin	<i>Fratercula arctica</i>
Pacific	Crested Auklet	<i>Aethia cristatella</i>
Pacific	Fork-tailed Storm Petrel	<i>Oceanodroma furcata</i>
Atlantic	Great Shearwater	<i>Ardenna gravis</i>
Pacific	Horned Puffin	<i>Fratercula corniculata</i>
Pacific	Kittlitz's Murrelet	<i>Brachyramphus brevirostris</i>
Pacific	Laysan Albatross	<i>Phoebastria immutabilis</i>
Pacific	Least Auklet	<i>Aethia pusilla</i>
Pacific	Long-billed Murrelet	<i>Brachyramphus perdix</i>
Atlantic?	Manx Shearwater	<i>Puffinus puffinus</i>
Atlantic	Northern Gannet	<i>Morus bassanus</i>
Pacific	Parakeet Auklet	<i>Aethia psittacula</i>
Pacific	Pelagic Cormorant	<i>Phalacrocorax pelagicus</i>
Pacific	Razorbill	<i>Alca torda</i>
Pacific	Short-tailed Shearwater	<i>Puffinus tenuirostris</i>
Pacific	Spectacled Eider	<i>Somateria fischeri</i>
Pacific	Spectacled Guillemot	<i>Cepphus carbo</i>
Pacific	Steller's Eider	<i>Polysticta stelleri</i>
Pacific	Tufted Puffin	<i>Fratercula cirrhata</i>
Both	Wilson's Storm Petrel	<i>Ocenites oceanicus</i>
	<b>Mammals</b>	
Atlantic	Atlantic White-sided Dolphin	<i>Lagenorhynchus acutus</i>
Both	Blue Whale	<i>Balaenoptera musculus</i>
Pacific	Dall's Porpoise	<i>Phocoenoides dalli</i>
Atlantic	Gray Seal	<i>Halichoerus grypus</i>
Pacific	Gray Whale	<i>Eshrichtius robustus</i>
Atlantic	Hooded Seal	<i>Cystophora cristata</i>
Both	Humpback Whale	<i>Megaptera novaeangliae</i>
Both	Long-finned Pilot Whale	<i>Globicephala melas</i>
Atlantic	North Atlantic Right Whale	<i>Eubalaena glacialis</i>
Pacific	North Pacific Right Whale	<i>Eubalaena japonica</i>
Atlantic	Northern Bottlenose Whale	<i>Hyperoodon ampullatus</i>
Pacific	Northern Elephant Seal	<i>Mirounga angustirostris</i>
Pacific	Northern Fur Seal	<i>Callorhinus ursinus</i>
Pacific	Ribbon Seal	<i>Histiophoca fasciata</i>
Both	Sei Whale	<i>Balaenoptera borealis</i>
Pacific	Spotted Seal	<i>Phoca largha</i>
Both	Sperm Whale	<i>Physeter macrocephalus</i>
Atlantic	White-beaked Dolphin	<i>Lagenorhynchus albirostris</i>

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627

628 Figure 1: Plate V from Scammon 1874 "California grays among the ice"

629 Figure 2: Great Shearwater (lowest bird, with white collar) flying among Buller's

630 Shearwaters and Pink-footed Shearwaters off of Central California. Photo by Steve

631 Rottenborn.

632